

IN THE  
**United States Patent and Trademark Office**

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**Applicant(s):** Hans-Linhard REICH, et al.      **Docket No.:** 17209-019  
**Serial No.:** 09/877,439      **Art Unit:** 3692  
**Filed:** June 8, 2001      **Examiner:** DASS, Harish T.  
**For:** Method and System for Automated Transaction Compliance Processing

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Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

Further to the Non-Final Office Action dated May 26, 2009 (hereinafter "Office Action"), the October 13, 2009 Examiner interview, and in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 13.